TRANSFER OF ASSETS
(Retirement Accounts ONLY)

IVY FUNDS®

P.O. Box 29217 Shawnee Mission, KS 66201-9217
Fx: 1.800.532.2749 Ph: 1.800.777.6472

Form Instructions:

- Use this form to transfer retirement assets between like plans.
- Please check with the resigning trustee/custodian to determine if they assess any fees for transferring your assets, how often they distribute funds and if they require any additional documentation.
- Include a copy of your most recent account statement for the funds you wish to transfer with this form.
- Mail the original form and the appropriate account application to the address listed above.
- If you have any questions regarding this form, please call 1.800.777.6472.

1 OWNER INFORMATION

Owner Name ______________________ Mailing Address ______________________

Owner SSN or Taxpayer ID ______________ Date of Birth *(MM/DD/YYYY)* ______________ City/State/Zip ______________________

2 DELIVERING TRUSTEE/CUSTODIAN

Delivering Carrier/Trustee/Custodian *(please print)* ______________________ Mailing Address ______________________

Account Number at Delivering Trustee/Custodian ______________________ City/State/Zip ______________________

3 TRANSFER INSTRUCTIONS FOR DELIVERING TRUSTEE/CUSTODIAN

- Delivering Trustee/Custodian, transfer assets from the above account(s) in cash according to the instructions below.

3A CURRENT PLAN TYPE

Select one:

☐ Traditional IRA ☐ Beneficiary IRA/Inherited ☐ Coverdell ESA

☐ Roth IRA ☐ Beneficiary Roth IRA/Inherited

☐ SIMPLE IRA ☐ SEP/SARSEP

3B DISTRIBUTION

- Account owner must liquidate non-mutual fund positions, currently held in a brokerage account, to a cash position prior to submitting this form to initiate a transfer.
- Enclose the certificate/passbook/annuity/policy with this request if required for the surrender of these assets.
- Submit within thirty days of certification maturity date.

Liquidate account after the bank certificate of deposit maturity date ______________

Fund Name/Number	CUSIP	Liquidate
______________	______________	______________ ☐ $ ☐ %
______________	______________	______________ ☐ $ ☐ %
______________	______________	______________ ☐ $ ☐ %

Make proceeds payable to:

Fiduciary Trust Company of New Hampshire, Custodian
FBO (Client Name)

Mail to: Post Office Box 29219
Shawnee Mission, KS 66201-9219

Overnight: 6300 Lamar Ave
Overland Park, KS 66202

4 TRANSFER ASSETS TO THIS ACCOUNT

4A PLAN TYPE

Select one:

☐ Traditional IRA ☐ Beneficiary IRA/Inherited ☐ Coverdell ESA

☐ Roth IRA ☐ Beneficiary Roth IRA/Inherited

☐ SIMPLE IRA ☐ SEP/SARSEP

4B SIMPLE IRA

- The Date of First Contribution is required for Simple IRA transfers. The first deposit made by the employer in the Simple IRA will be assumed as the date of first participation unless specified otherwise.
- A transfer from a SIMPLE IRA to a Traditional IRA may not be made prior to completion of two years participation in the SIMPLE IRA.

Date of First Contribution *(MM/DD/YYYY)*

4C BENEFICIARY IRA

- Beneficiary IRA/Roth IRA/Inherited account includes assets inherited from a Traditional IRA, Rollover, Roth IRA, SEP and SIMPLE IRA.

Decedent Information:

Name of Decedent

Date of Birth *(MM/DD/YYYY)*

Date of Death *(MM/DD/YYYY)*

Beneficiary Information:

Name of Beneficiary

Relationship to Decedent

Existing Payout election:

☐ Life Expectancy Payments

☐ Distributed by the end of the fifth year following the year of the decedent's death.

5 INVESTMENT INSTRUCTIONS

Ivy Account Number

Fund Number(s)	Amount *(Indicate dollars or whole annual percentages)*
	☐ $ ☐ %
	☐ $ ☐ %
	☐ $ ☐ %
	☐ $ ☐ %
	☐ $ ☐ %
	☐ $ ☐ %

6 AGREEMENT

- By signing below, I instruct Fiduciary Trust Company of New Hampshire and the resigning custodian/trustee to act on all instructions given on this form.
- I agree, and certify to the resigning custodian/trustee that I have established an account meeting the requirements of the applicable Internal Revenue Code Section to which assets will be transferred.
- I agree, and certify to Fiduciary Trust Company of New Hampshire that the account from which assets are being transferred meets the applicable requirements of Internal Revenue Code and that the transfer satisfies the requirement for a nontaxable transaction.
- I agree, and understand that my current account may be subject to a penalty fee for early liquidation.
- I agree to transfer only those assets which can be held in the above selected accounts, as described in the relevant Custodial Agreement or Plan Document.
- I agree, if I am over 70½, I attest that this transfer will not violate the required minimum distribution rules under Section 401(a)(9) of the Internal Revenue Code.

GUARANTOR:
Stamp signature guarantee here.

Signature of Authorized Planholder

Printed Name

Date

A medallion signature guarantee may be obtained from a bank, broker/dealer, or other financial institution that is an eligible guarantor institution. A notary public is not an acceptable guarantor.

7 LETTER OF ACCEPTANCE

- Fiduciary Trust Company of New Hampshire accepts its appointment as Custodian of the above specified plan, and upon receipt of assets, will deposit them into a retirement account on behalf of the shareholder authorizing this transfer.

For Home Office use only

Signature of Authorized Agent

Printed Name

Date

Ivy Funds

Fund Names	*Class A*	*Class B*	*Class C*
Ivy Asset Strategy	606	506	306
Ivy Asset Strategy New Opportunities	641	541	341
Ivy Balanced	644	544	344
Ivy Bond	646	546	346
Ivy Core Equity	601	501	301
Ivy Cundill Global Value	615	515	315
Ivy Dividend Opportunities	686	586	386
Ivy Energy	694	594	394
Ivy European Opportunities	614	514	314
Ivy Global Bond	697	597	397
Ivy Global Equity Income	659	559	359
Ivy Global Income Allocation	649	549	349
Ivy Global Natural Resources	612	512	312
Ivy High Income	609	509	309
Ivy International Core Equity	613	513	313
Ivy International Growth	617	517	317
Ivy Large Cap Growth	667	567	367
Ivy Limited-Term Bond	603	503	303
Ivy Managed European/Pacific	696	596	396
Ivy Managed International Opportunities	695	595	395
Ivy Micro Cap Growth	698	598	398
Ivy Mid Cap Growth	668	568	368
Ivy Money Market	670	570*	370*
Ivy Pacific Opportunities	611	511	311
Ivy Real Estate Securities	648	548	348
Ivy Science and Technology	608	508	308
Ivy Small Cap Growth	602	502	302
Ivy Small Cap Value	645	545	345
Ivy Value	632	532	332